

020304

UTILITY

PATENT APPLICATION
TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 248425US0DIV

First Inventor or Application Identifier Ruprecht KROKER

Title PREPARATION OF TERT-BUTYL ESTERS OF ALIPHATIC C1-C4-CARBOXYLIC
ACIDS

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents

ADDRESS TO:

Commissioner for Patents
Mail Stop Patent Application
Alexandria, Virginia 22313

- 1.
- ☒
- Fee Transmittal Form (e.g. PTO/SB/17)
-
- (Submit an original and a duplicate for fee processing)

- 2.
- ☒
- Specification Total Sheets 14

- 3.
- ☒
- Drawing(s) (35 U.S.C. 113) Total Sheets 1

- 4.
- ☒
- Oath or Declaration Total Pages 3

a. ☐ Newly executed (original or copy)

- b.
- ☒
- Copy from a prior application (37 C.F.R. §1.63(d))
-
- (for continuation/divisional with box 17 completed)

- i.
- ☐
- DELETION OF INVENTOR(S)

Signed statement attached deleting inventor(s) named in
the prior application, see 37 C.F.R. §1.63(d)(2) and
1.33(b).

- 5.
- ☐
- CD-ROM or CD-R in duplicate, large table or Computer
-
- Program (Appendix)

- 6.
- ☐
- Nucleotide and/or Amino Acid Sequence Submission
-
- (if applicable, all necessary)

a. ☐ Computer Readable Form (CRF)

b. Specification or Sequence Listing on:

i. ☐ CD-ROM or CD-R (2 copies); orii. ☐ Paperc. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

- 7.
- ☐
- Assignment Papers (cover sheet & document(s))

- 8.
- ☒
- Application Data Sheet. See 37 CFR 1.76

- 9.
- ☐
- 37 C.F.R. §3.73(b) Statement
- ☒
- Power of
-
- (when there is an assignee) Attorney

- 10.
- ☐
- English Translation Document (if applicable)

- 11.
- ☒
- Information Disclosure
-
- Statement (IDS)/PTO-1449
- ☐
- Copies of IDS
-
- Citations

- 12.
- ☒
- Preliminary Amendment

- 13.
- ☒
- White Advance Serial No. Postcard

- 14.
- ☐
- Certified Copy of Priority Document(s)
-
- (if foreign priority is claimed)

- 15.
- ☐
- Applicant claims small entity status.
-
- See 37 CFR 1.27

- 16.
- ☒
- Other: Request for Priority
-
- PCT/IB/304
-
- International Search Report

17. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below:

☐ Continuation☒ Divisional☐ Continuation-in-part (CIP)

of prior application no.: 10/334,000

Prior application information:

Examiner: K. PUTTLITZ

Group Art Unit: 1621

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is
considered a part of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon
when a portion has been inadvertently omitted from the submitted application parts.

18. CORRESPONDENCE ADDRESS

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Corwin Paul Umbach

Date:

February 5, 2004

Name: Corwin P. Umbach, Ph.D.

Registration No.: 40,211

031431 U.S. PTO
10/769911

020304



Docket No. 248425US0DIV

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

INVENTOR(S) Ruprecht KROKER, et al.

SERIAL NO: New Divisional of 10/334,000

FILING DATE: Herewith

FOR: PREPARATION OF TERT-BUTYL ESTERS OF ALIPHATIC C1-C4-CARBOXYLIC ACIDS

FEE TRANSMITTAL

COMMISSIONER FOR PATENTS
ALEXANDRIA, VIRGINIA 22313

FOR	NUMBER FILED	NUMBER EXTRA	RATE	CALCULATIONS
TOTAL CLAIMS	7 - 20 =	0	x \$18 =	\$0.00
INDEPENDENT CLAIMS	1 - 3 =	0	x \$86 =	\$0.00
<input type="checkbox"/> MULTIPLE DEPENDENT CLAIMS (If applicable)			+ \$290 =	\$0.00
<input type="checkbox"/> LATE FILING OF DECLARATION			+ \$130 =	\$0.00
BASIC FEE				\$770.00
TOTAL OF ABOVE CALCULATIONS				\$770.00
<input type="checkbox"/> REDUCTION BY 50% FOR FILING BY SMALL ENTITY				\$0.00
<input type="checkbox"/> FILING IN NON-ENGLISH LANGUAGE			+ \$130 =	\$0.00
<input type="checkbox"/> RECORDATION OF ASSIGNMENT			+ \$40 =	\$0.00
TOTAL				\$770.00

- ☒ Please charge Deposit Account No. 15-0030 in the amount of **\$770.00**. A duplicate copy of this sheet is enclosed.
- ☐ A check in the amount of **\$0.00** to cover the filing fee is enclosed.
- ☐ Credit card payment form is attached to cover the filing fee in the amount of **\$0.00**.
- ☒ The Director is hereby authorized to charge any additional fees which may be required for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to Deposit Account No. 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully Submitted,

OBLON, SPIVAK, McCLELLAND,
MAIER & NEUSTADT, P.C.

Date:

February 3, 2004

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